

#18 (N.C.)
7-16-03PATENT
ATTORNEY DOCKET NO. 10269/13IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Keiser et al.
Serial No. : 09/465,607 Examiner: Clement B. Graham
Filed : December 17, 1999 Group Art Unit: 2164
Title : COMPUTER-IMPLEMENTED SECURITIES TRADING
SYSTEM WITH VIRTUAL CURRENCY AND VIRTUAL
SPECIALIST FUNCTION

Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

RESPONSE TO OFFICE ACTION DATED JANUARY 14, 2003,
AND FOLLOWING INTERVIEW OF MAY 20, 2003

Sir:

The following remarks are in response to the Office Action dated January 14, 2003, and follow the interview of May 20, 2003 between Seth H. Ostrow, undersigned attorney for applicants, and Examiners Clement B. Graham and his supervising Examiner Hyung S. Sough.

REMARKS

In the Office Action dated January 14, 2003, claims 1-22 were rejected under 35 U.S.C. §103(a) as being obvious over U.S. Patent No. 5,724,524 to Hunt et al. During the interview of May 20, 2003, as referenced above, the applicants explained their position with respect to the pending claims being patentable over the cited art, and the supervising Examiner admitted that the pending rejection of the claims is "weak." Therefore, pending any additional searching which may be deemed necessary, the applicants expect an allowance of the claims following this

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